

Learning Partition Trees for Nearest Neighbor Search

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1 Learn from your queries

Build an index for the queries you actually get.

- Benchmarks measure **recall vs QPS** on fixed query sets
- This assumes online performance $\approx$ offline performance

Given *samples* from the query distribution, can we compete with the *optimal* ANN index within a certain class?

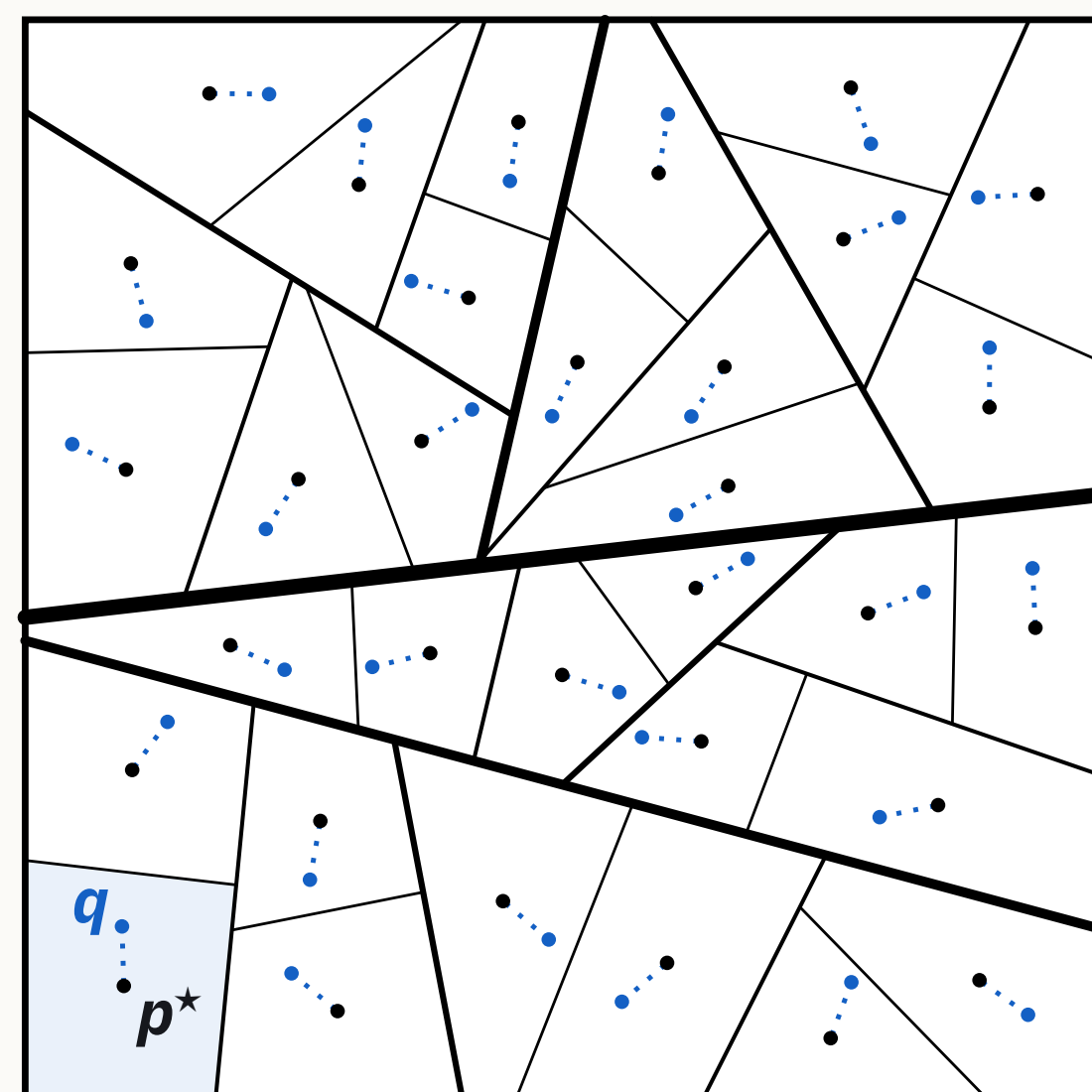
GIVEN

- A dataset $P \subset \mathbb{R}^d$
- Training pairs (q, p^*) , where $p^* = \text{NN}(q)$

GOAL

- Find a **balanced halfspace tree** of minimal search cost

$$= \mathbb{E}_{(q, p^*)} [|\text{LCA}_T(q, p^*)|]$$



A **perfect tree** (thickness $\leftrightarrow$ tree depth).
Search cost is 1.

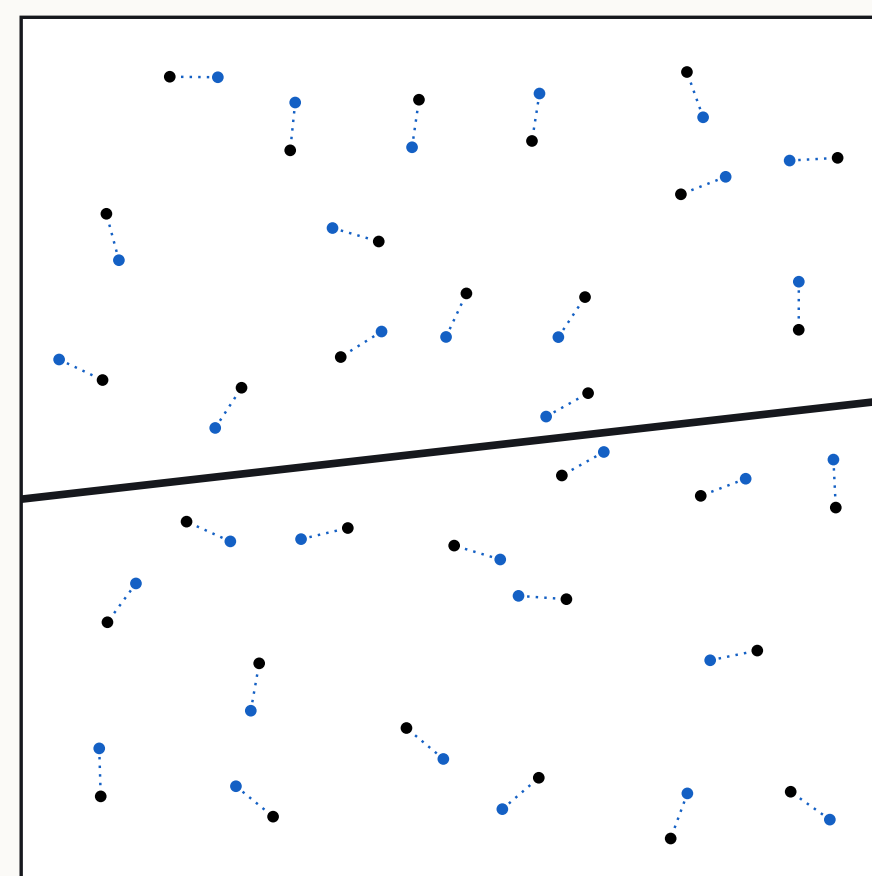
2 Good halfspaces are hard to find

Finding a low-error balanced halfspace is NP-hard.

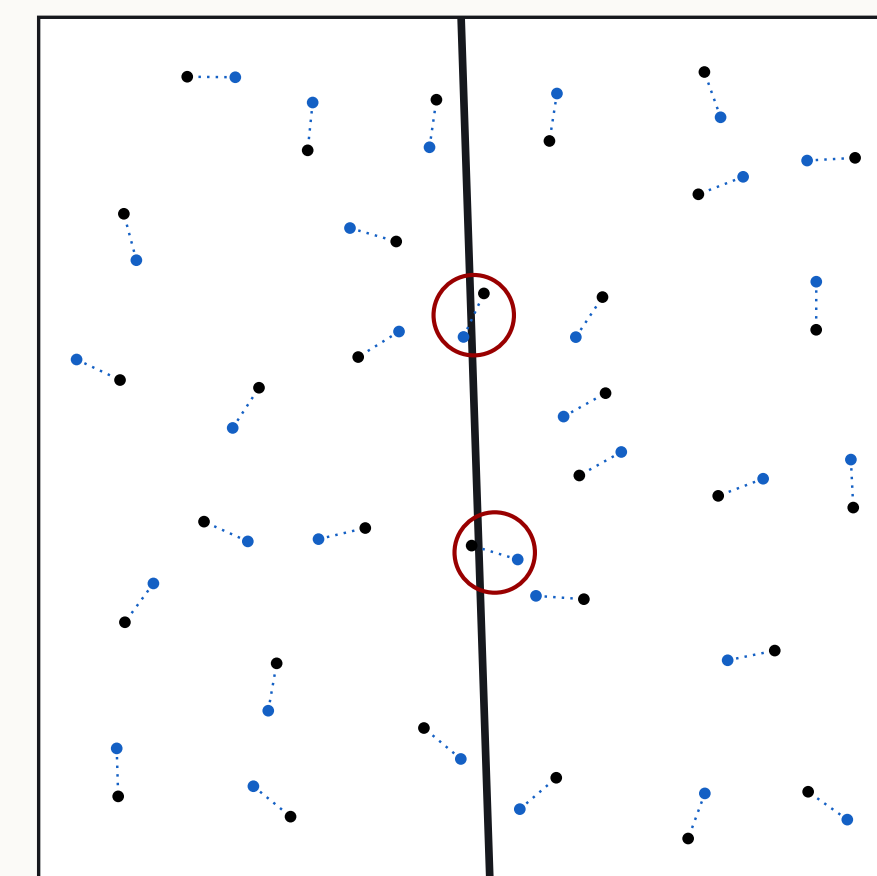
BALANCED HALFSPACE CUT PROBLEM

Find a halfspace that

- keeps $\geq \beta$ of the dataset on each side
- minimizes the fraction of pairs that are **cut**



No pairs cut: error 0



Two pairs cut: error 2/32

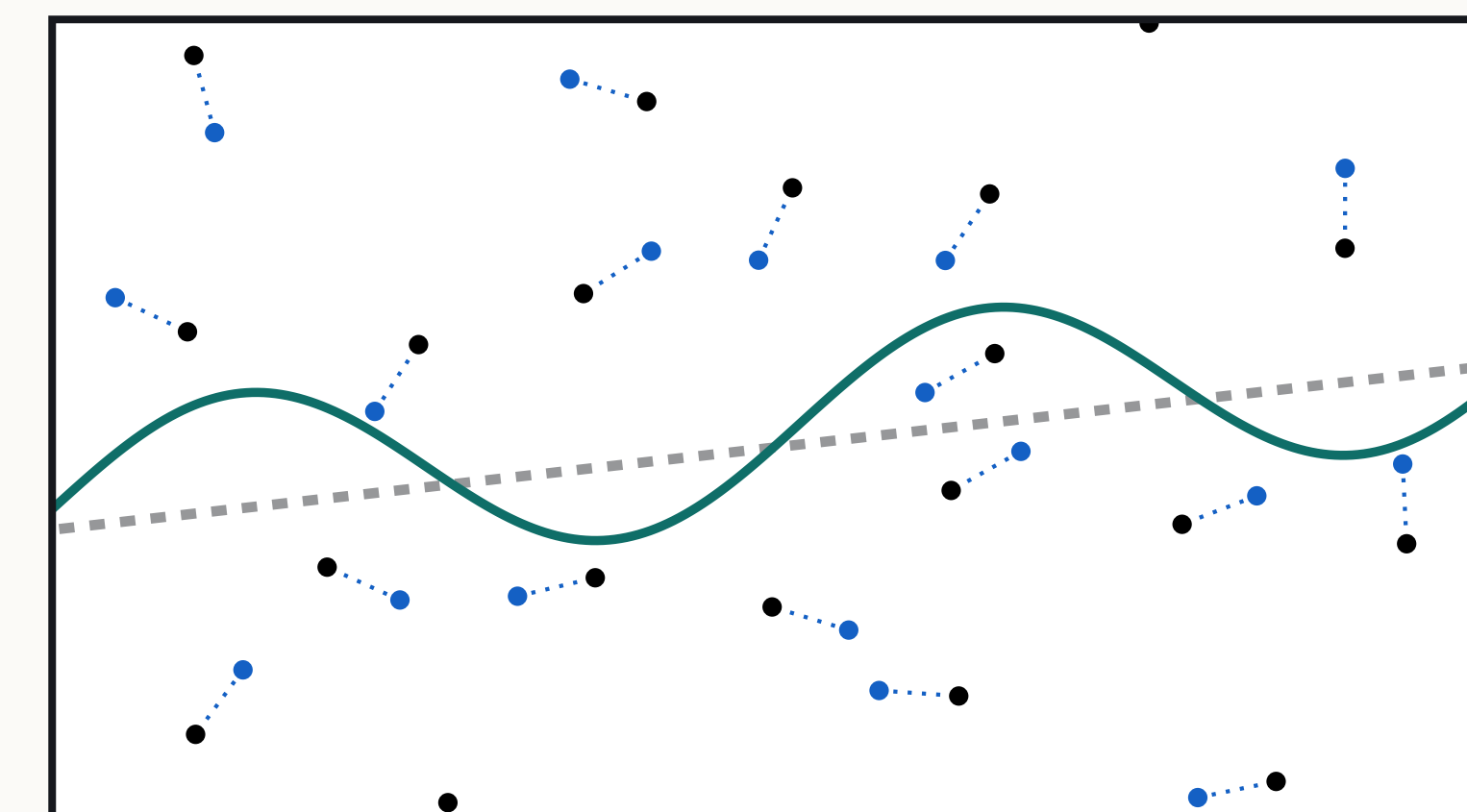
THEOREM 1

It is **NP-hard** to distinguish between:

- there exists a halfspace with 1/2 balance and 0 error
- every halfspace of $\geq 1/3$ balance has $\geq 1\%$ error

3 The polynomial fix

Output a polynomial cut that matches the best halfspace.



THEOREM 2

If the best halfspace has error α , PolyCut outputs a polynomial cut of degree $\tilde{O}(1/\epsilon^2)$ and error $O(\sqrt{\alpha + \epsilon})$.

We assume that q, p^* are Gaussian-like.

WHY A POLYNOMIAL?

- Halfspace error is challenging because $H(q) \in \{-1, 1\}$
- Instead, we find a polynomial A such that $A(q) \approx H(q)$ (we use that q is Gaussian-like)
- We minimize a tractable proxy: $\mathbb{E}[(A(q) - A(p^*))^2]$

4 The punchline: beating brute force

We efficiently compute a balanced halfspace tree that solves exact NNS faster than brute force.

MAIN THEOREM

GIVEN

- a dataset of n points in $\mathbb{R}^d$
- samples access to queries

WE COMPUTE IN POLY TIME

- an $O(nd)$ space index that:
 - answers query q in $o(nd)$ time
 - returns $\text{NN}(q)$ with prob. 0.99

ASSUMING

- (q, p^*) admits a perfect 1/3-balanced halfspace tree
- q, p^* are Gaussian-like

OPEN PROBLEMS

- Improve cut runtime: $d^{\text{poly}(1/\epsilon)} \rightarrow \text{poly}(d, 1/\epsilon)$?
- Learn the best **graph-based** index
- Design a practical data structure using these ideas